

## Financial Results for the First Quarter of the Fiscal Year Ending June 30, 2014

Company name: ULVAC, Inc. Stock exchange listing: First section of the Tokyo Stock Exchange  
 Stock code number: 6728 URL: <http://www.ulvac.co.jp/>  
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 Scheduled date of filing financial statement: November 11, 2013  
 Scheduled date of commencement of dividend payment: —  
 Supplementary results material: Yes  
 Financial results meeting: Yes (For domestic financial analysts and institutional investors)

(Figures are rounded off to the nearest one million yen)

### 1. Consolidated Results for the First Quarter of FY2013 (July 1, 2013 through September 30, 2013)

#### (1) Business Results (Percentages indicated changes from the corresponding period of the previous fiscal year)

	Net sales		Operating profit		Ordinary profit		Net income	
	Millions of yen	%	Millions of yen	%	Millions of yen	%	Millions of yen	%
First Quarter of FY2013	42,834	29.5	3,419	—	3,978	—	3,340	—
First Quarter of FY2012	33,075	△ 44.6	△ 282	—	△ 1,038	—	△ 1,537	—

(Note) Comprehensive income: First Quarter of FY2013: 4,201 million yen (—%) FY2012: △ 1,681 million yen (—%)

	Net income per share	Diluted net income per share
	Yen	Yen
First Quarter of FY2013	65.03	43.75
First Quarter of FY2012	△ 33.81	—

#### (2) Financial Position

	Gross assets	Net assets	Shareholders' equity ratio
	Millions of yen	Millions of yen	%
First Quarter of FY2013	239,406	63,555	24.8
FY2012	243,289	59,436	22.7

(Reference) Shareholders' equity: First Quarter of FY2013: 59,293 million yen FY2012: 55,317 million yen

### 2. Dividends

(Base date)	Annual dividend				
	End of Q1	End of Q2	End of Q3	End of FY	Annual
	Yen	Yen	Yen	Yen	Yen
FY2012	—	—	—	0.00	0.00
FY2013	—	—	—	—	—
FY2013 (Forecast)	—	—	—	To be determined	To be determined

(Note) Revision of dividend forecast during this quarter: None

(Note) The information in the above table is only for dividends on common stocks.

### 3. Consolidated Earnings Forecasts for the year ending June 30, 2014

(Percentages for full business year indicated changes from the previous fiscal year, and for interim period changes from the corresponding period of the previous fiscal year.)

	Net sales		Operating profit		Ordinary profit		Net income		Net income per share
	Millions of yen	%	Millions of yen	%	Millions of yen	%	Millions of yen	%	Yen
First Half of FY2013	86,000	16.8	5,500	535.8	5,000	711.7	4,500	—	85.87
Full Year	180,000	10.2	9,000	47.2	7,000	11.7	5,000	—	90.68

(Note) Revision of consolidated financial forecast during this quarter: Yes

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